

INTENSIVE BEHAVIOR HEALTH SERVICES (IBHS) WEBINAR
OCTOBER 4, 2019

**This webinar will be recorded and
posted online at a later date**

CHAPTER 5240- HIGHLIGHTS

KEY DATES

- The promulgation date is the date that the IBHS regulations are published in the PA Bulletin.
 - The promulgation date is anticipated to be in mid-October.
- Providers are required to comply with the IBHS regulations 90 days after they are promulgated.
 - The compliance date is anticipated to be in mid-January.
- IBHS may start to be delivered on the date the regulations are promulgated.

IBHS SERVICE ARRAY

- Individual services
- Applied Behavior Analysis (ABA) services
- Group services
- Evidence-based therapy (EBT) can be delivered through individual services, ABA services or group services.

INDIVIDUAL SERVICES

- Intensive therapeutic interventions and supports that are used to reduce and manage identified therapeutic needs, increase coping strategies and support skill development to promote positive behaviors with the goal of stabilizing, maintaining or maximizing functioning of a child, youth or young adult in the home, school or other community setting.
- Individual services can be delivered using Behavior Consultation (BC) services, Mobile Therapy (MT) services and Behavioral Health Technician (BHT) services.
- Individuals who are certified as Registered Behavior Technicians (RBT) can begin to provide Therapeutic Staff Support (TSS) services or Behavioral health technician (BHT) services upon promulgation of the regulations.
- Current Behavioral Health Rehabilitation Services (BHRS) that may be considered individual services include: Behavioral Specialist Consultant (BSC) services, BSC-Autism Spectrum Disorder (BSC-ASD) services, Mobile Therapy (MT) services, TSS services, Multisystem Therapy (MST) services, Functional Family Therapy (FFT) services, and the treatment component of Community Residential Rehabilitation (CRR) Host Home.

ABA SERVICES

- ABA is the design, implementation and evaluation of environmental modifications, using behavioral stimuli and consequences, to produce socially significant improvement in human behavior or to prevent loss of attained skill or function, including the use of direct observation, measurement and functional analysis of the relations between the environment and behavior.
- ABA is used to develop needed skills (behavioral, social, communicative, and adaptive functioning) through the use of reinforcement, prompting, task analysis, or other appropriate interventions in order for a child, youth or young adult to master each step necessary to achieve a targeted behavior.
- ABA services can be delivered using Behavior Analytic (BA) services, Behavior Consultation–ABA (BC-ABA) services, Assistant Behavior Consultation–ABA (Asst. BC-ABA) services or Behavioral Health Technician–ABA (BHT-ABA) services.
- Current BHRS that may be considered ABA services include BSC services and TSS services.

GROUP SERVICES

- Group services are therapeutic interventions provided primarily in a group format through clinical interventions including psychotherapy and ABA services, structured activities and community integration activities that address a child's, youth's or young adult's identified treatment needs. Group services may be provided in a school, community setting or community like setting. A community like setting is a setting that simulates a natural or normal setting for a child, youth or young adult.
- Group services can be delivered by a graduate-level professional (GLP) or an individual that is qualified to provide BHT services or BHT-ABA services.
- Current BHRS that may be considered group services include summer therapeutic activities program (STAP), community and school based behavioral health programs, after school programs, and therapeutic nursery programs.

KEY CHANGES WITH IBHS

- Agencies that provide IBHS must obtain an IBHS license.
- Changes to supervision, training and qualifications.
- Interagency Service Planning Team (ISPT) meeting is no longer required.
- Services require a written order and assessment.

KEY CHANGES WITH IBHS

- Quality improvement activities:
 - Establish and implement a written quality improvement plan.
 - Prepare an annual quality report.
 - Make annual quality reports available to the public upon request.
 - Provide written notification to individuals receiving services and their families that a copy of the annual quality report may be requested upon admission to services.

SUPERVISION

- Providers must comply with the supervision requirements 90 days after the IBHS regulations are promulgated.

SUPERVISION OF STAFF WHO PROVIDE INDIVIDUAL SERVICES

- An individual who meet the qualifications of a clinical director must provide 1 hour of individual face-to-face supervision per month to individuals who provide BC services or MT services.
- If the staff providing BC services or MT services also supervises individuals who provide BHT services, an additional hour of face-to-face supervision per month is required.
- Staff who provide BC services or MT services must receive 30 minutes of direct observation of services being provided every 6 months.

SUPERVISION OF STAFF WHO PROVIDE INDIVIDUAL SERVICES

- An individual who meets the qualifications of a clinical director or is qualified to provide BC services or MT services must supervise an individual who provides BHT services.
- Individuals who provide BHT services at least 37.5 hours a week must receive 1 hour of supervision a week. Individuals who provide BHT services for less than 37.5 hours a week must receive supervision 2 times a month.
- Individuals who provide BHT services must receive 1 hour of individual face-to-face supervision each month.
- Staff who provide BHT services must receive 1 hour of direct observation during the implementation of an individual treatment plan (ITP) every 4 months.
 - Staff who provide BHT services and have a high school diploma (or its equivalent) and completed a 40 hour training covering the RBT Task List must receive 1 hour of direct observation during the implementation of an ITP every 2 months.
- Staff who have never provided BHT services are required to receive 6 hours of onsite supervision prior to providing BHT services independently.

SUPERVISION OF STAFF WHO PROVIDE ABA SERVICES

- An individual who meet the qualifications of a clinical director for an IBHS agency that provides ABA services must provide 1 hour of individual face-to-face supervision per month to individuals who provide BA services or BC-ABA services.
- If the staff providing BA services or BC-ABA services also supervises individuals who provide Asst. BC-ABA services or BHT-ABA services, an additional hour of face-to-face supervision per month is required.
- Staff who provide BA services or BC-ABA services must receive 30 minutes of direct observation of services being provided every 6 months.

SUPERVISION OF STAFF WHO PROVIDE ABA SERVICES

- An individual who meets the qualifications of a clinical director for an IBHS agency that provides ABA services or is qualified to provide BA services or BC-ABA services must provide 1 hour of supervision 2 times a month to individuals who provide Asst. BC-ABA services.
- Staff who have never provided Asst. BC-ABA services are required to receive 3 hours of onsite supervision prior to providing ABA services independently.
- Staff who provide Asst. BC-ABA services must receive 30 minutes of direct observation during the provision of services every 6 months.

SUPERVISION OF STAFF WHO PROVIDE ABA SERVICES

- An individual who meets the qualifications of a clinical director for an IBHS agency that provides ABA services, is qualified to provide BA services or BC-ABA services, or is qualified to provide Asst. BC-ABA services and has a BCaBA certification must supervise an individual who provides BHT-ABA services.
- Individuals who provide BHT-ABA services at least 37.5 hours a week must receive 1 hour of supervision a week. Individuals who provide BHT services for less than 37.5 hours a week must receive supervision 2 times a month.
- Individuals who provide BHT-ABA services must receive 1 hour of individual face-to-face supervision each month.
- Staff who provide BHT-ABA services must receive 1 hour of direct observation during the implementation of an ITP every 4 months.
 - Staff who provide BHT-ABA services and have a high school diploma (or its equivalent) and completed a 40 hour training covering the RBT Task List must receive 1 hour of direct observation during the implementation of an ITP every 2 months.
- Staff who have never provided BHT-ABA services are required to receive 6 hours of onsite supervision prior to providing BHT-ABA services independently.

SUPERVISION OF STAFF WHO PROVIDE GROUP SERVICES

- An individual who meet the qualifications of a clinical director must provide 1 hour of individual face-to-face supervision per month to a GLP.
- If the GLP supervises an individual who is qualified to provide BHT services or BHT-ABA services, the GLP shall receive an additional hour of face-to-face supervision per month.

SUPERVISION OF STAFF WHO PROVIDE GROUP SERVICES

- A GLP must supervise an individual who is qualified to provide BHT services or BHT-ABA services.
- Individuals who are qualified to provide BHT services or BHT-ABA services and provide services at least 37.5 hours a week must receive 1 hour of supervision a week. Individuals who are qualified to provide BHT services or BHT-ABA services and provide services less than 37.5 hours a week must receive supervision 2 times a month.
- Individuals who are qualified to provide BHT services or BHT-ABA services must receive 1 hour of individual face-to-face supervision each month.
- A supervisor must be available to consult with staff during the hours that group services are provided, including evenings and weekends.

STAFF QUALIFICATIONS

- All staff must meet the qualifications in the regulations 90 days after they are promulgated.
- For some staff, there are interim and final qualifications.
 - The final qualifications for staff that provide BHT-ABA services must be met by July 1, 2020.
 - The final qualifications for staff that provide BHT services must be met by January 1, 2021 .
 - The final qualifications for a clinical director of an IBHS agency that provides ABA services must be met by July 1, 2022.

TRAINING

- Initial training requirements are required to be met 90 days after promulgation of the regulation.
- Annual training requirements do not have to be met 90 days after promulgation of the regulation.

TRAINING FOR STAFF WHO PROVIDE INDIVIDUAL SERVICES

- Individuals who provide BC services or MT services must complete at least 16 hours of Department-approved training annually related to their specific job functions and is in accordance with the individual's training plan.
- Individuals who provide BHT services must complete at least 20 hours of Department-approved training annually that is related to the individual's specific job functions and is in accordance with the individual's training plan.
- If an individual has not previously provided BHT services, the individual must complete:
 - Thirty hours of Department-approved training prior to providing services independently on the topics listed in section 5240.73(c)(1)-(8).
 - Twenty-four hours of Department-approved training within the first 6 months of providing BHT services on the topics listed in section 5240.73(d)(1)-(11).

TRAINING FOR STAFF WHO PROVIDE INDIVIDUAL SERVICES

- Individuals who provide BHT services may substitute completed college coursework for required training topics.
- Individuals may count hours of training required to maintain licensure towards the training requirements.

TRAINING FOR STAFF WHO PROVIDE ABA SERVICES

- Individuals who provide BA services or BC-ABA services must complete 16 hours of training annually that is approved by the Department or provided by a continuing education provider approved by the Behavior Analyst Certification Board (BACB) that is related to their specific job functions and is in accordance with the individual's training plan.
- Individuals who provide Asst. BC-ABA services must complete 20 hours of training annually that is approved by the Department or provided by a continuing education provider approved by the BACB that is related to their specific job functions and is in accordance with the individual's training plan.
- Individuals who provide Asst. BC-ABA services and do not have a certification as a board-certified behavior analyst (BCBA), board-certified assistant behavior analyst (BCaBA), or board certified autism technician (BCAT) must complete 20 hours of training related to ABA that is approved by the Department or provided by a continuing education provider approved by the BACB before independently providing ABA services.

TRAINING FOR STAFF WHO PROVIDE ABA SERVICES

- An individual who provides BHT-ABA services shall complete
 - Thirty hours of Department-approved training prior to providing services independently on the topics listed in section 5240.73(c)(1)-(8).
 - Twenty-four hours of Department-approved training within the first 6 months of providing BHT-ABA services on the topics listed in section 5240.73(d)(1)-(11).
 - At least 20 hours of training annually related to ABA that is approved by the Department or provided by a continuing education provider approved by the BACB that is related to the individual's specific job functions and is in accordance with the individual's training plan.

TRAINING FOR STAFF WHO PROVIDE ABA SERVICES

- Individuals who are certified may count hours of training required to maintain certification towards the training requirements.
- Individual may count hours of training required to maintain licensure towards the training requirements.

TRAINING REQUIREMENTS FOR STAFF WHO PROVIDE GROUP SERVICES

- A GLP who is qualified to provide BC services must complete the training requirements for individuals who are qualified to provide BC services.
- A GLP who is qualified to provide MT services must complete the training requirements for individuals who are qualified to provide MT services.
- A GLP who is qualified to provide BA services or BC-ABA services must complete the training requirements for individuals who are qualified to provide BA services. or BC-ABA services.
- An individual who meets the qualifications to provide BHT services must complete the training requirements for individuals who are qualified to provide BHT services.
- An individual who meets the qualification to provide BHT-ABA services must complete the training requirements for individuals who are qualified to provide BHT-ABA services.

WRITTEN ORDER

- A written order that complies with the IBHS regulations is necessary for services to be initiated.
 - A psychiatric or psychological evaluation can be used in place of a written order provided the evaluation includes the information that must be in the written order.
- Written orders should include the maximum number of hours of each service per month.

ASSESSMENT REQUIREMENTS

- Individual services
 - Completed within 15 days of the initiation of individual services and prior to completing the ITP.
 - Reviewed and updated within 12 months of the previous face-to-face assessment.
 - Completed by an individual meeting the qualifications to provide BC or MT services.
- ABA Services
 - Completed within 30 days of the initiation of ABA services and prior to completing the ITP.
 - Reviewed and updated within 12 months of the previous face-to-face assessment.
 - Completed by an individual meeting the qualifications to provide BA or BC-ABA services.
- Group Services
 - Completed within 15 days of the initiation of group services and prior to completing the ITP.
 - Reviewed and updated within 12 months of the previous face-to-face assessment.
 - Completed by a GLP.

COMMUNICATION WITH FAMILIES AND STAKEHOLDERS

- The Department will distribute an IBHS information sheet to family members.
- Behavioral Health Managed Care Organizations (BH-MCOs) will be responsible for working with families and providers to prepare for the transition to IBHS (i.e. provider meetings, care management, family meetings).
- The Department will issue Frequently Asked Questions regarding IBHS.
- Questions regarding IBHS can be directed to RA-PWIBHS@pa.gov .



Licensing

IBHS LICENSING

- New IBHS agencies must obtain a license within 90 days of promulgation.
- Unlicensed entities enrolled under the OMHSAS-16-07 bulletin and approved to provide ABA must obtain a license within 180 days of promulgation.
- IBHS agencies that currently have a base mental health license must obtain an IBHS license when their current license expires.
- All other IBHS agencies approved to provide IBHS must obtain an IBHS license within 1 year of promulgation.

APPLYING FOR A LICENSE

- Development/approval of service description
- Licensing application instructions
- Initial visit/technical assistance
- Receiving Certificate of Compliance

RECEIVING CERTIFICATE OF COMPLIANCE

- Process paperwork
- Fee letter
- Receipt of Certificate of Compliance

ANNUAL LICENSING PROCESS

Pre-Inspection

pennsylvania
DEPARTMENT OF HEALTH
APPLICATION FOR CERTIFICATE OF COMPLIANCE
(For instructions, see number side of the last page.)

PURPOSE OF APPLICATION New Facility/Agency Renewal - Certificate # _____

IDENTIFICATION	
1. NAME and ADDRESS OF AGENCY/FACILITY	2. NAME and ADDRESS OF LEGAL ENTITY
NAME	NAME
NUMBER and STREET	PO BOX or NUMBER and STREET
CITY STATE ZIP CODE	CITY STATE ZIP CODE
EMAIL ADDRESS (if available)	EMAIL ADDRESS (if available)
PHONE NUMBER	PHONE NUMBER
3. COUNTY and MUNICIPALITY/CITY/TOWNSHIP/BOROUGHS	4. RESPONSIBLE PERSON
	NAME TITLE
5. TYPE OF AGENCY/FACILITY SERVICE	6. REQUESTED LICENSE/CAPACITY (Please List Living, Nonresidential Care Home/DAL)
<input type="checkbox"/> FEDERAL EMPLOYER IDENTIFICATION NUMBER or <input type="checkbox"/> SOCIAL SECURITY NUMBER of LEGAL ENTITY	7. TYPE OF OPERATION <input type="checkbox"/> PROFIT <input type="checkbox"/> NON-PROFIT
8. TYPE OF OWNERSHIP/CONTROL	
<input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> GOVERNMENT	<input type="checkbox"/> ASSOCIATION <input type="checkbox"/> SCHOOL/DISTRICT <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION <input type="checkbox"/> FOREIGN PART <input type="checkbox"/> FOREIGN CORP
<input type="checkbox"/> LLP <input type="checkbox"/> LLC <input type="checkbox"/> OTHER	
9. PRIOR LICENSE STATUS (If YES to any of the items 10 through 12 inclusive, explain in a separate sheet of paper) Has the agency/facility (item 1), or legal entity (item 2), or the Person Responsible (operator) (item 4), or the person signing the application ever been Denied a Certificate of Compliance or License or not-renewed or had a Certificate of Compliance or License not-renewed in Pennsylvania or any other state?	YES NO
10. HAS THE LEGAL ENTITY, OWNER OR OPERATOR: Ever been convicted of a felony, convicted of a crime involving child abuse, child neglect, moral turpitude, or physical violence, named a perpetrator in an indicated or founded report of child abuse in accordance with the Child Protective Services Law or the Child-Dependent Services Act (18 Pa.C.S. § 6202.01)?	<input type="checkbox"/> <input type="checkbox"/>
11. IS THE LEGAL ENTITY, OWNER OR OPERATOR CURRENTLY CHARGED WITH A FELONY OR MISDEMEANOR?	<input type="checkbox"/> <input type="checkbox"/>

ATTACHMENTS
(This is a feeless Application for a new facility/agency or change of name of legal entity, submit copies of the following documents with this application.)

- Certificate of Occupancy (issued from Department of Health, Department of Labor and Industry or municipality.)
- Articles of Incorporation (if the facility or agency is operated by a corporation.)
- State Fictitious Name Approval (if the facility or agency is operated for profit under a fictitious name is used.)

DECLARATION (Any false information or statement knowingly given in this application is punishable under Section 4924 of the Pennsylvania Crimes Code.)
I understand that this Certificate of Compliance will be issued to me on the condition that I will operate the above named facility or agency in accordance with the laws of the Commonwealth of Pennsylvania and with the rules and regulations of the Department of Human Services, Title 1 and Title 51 of the Civil Rights Act of 1964, the Age Discrimination Act of 1975, the Rehabilitation Act of 1973 and the Pennsylvania Human Relations Act of 1955, and the Americans with Disabilities Act of 1990.
Specifically, the above named facility will not permit discrimination on the basis of color, race, religious creed, disability, ancestry, national origin, age or sex in any aspect of service delivery and employment.
I hereby declare that the information given in this application is true to the best of my knowledge.

NAME (Title, print or type) _____ ADDRESS _____
(Where the legal entity is a corporation, the individual must be a corporate officer.)

SIGNATURE OF THE LEGAL ENTITY REPRESENTATIVE _____ DATE (mm/dd/yyyy) _____

Original - Licensing Administration 1 Copy - Regional Program Office 2 Copy - Facility 1

Inspection



Post-Inspection



Licensing Inspection Summary (LIS)

PRE-INSPECTION

- Licensing Application (HS633)
- Payment of fee (\$50)
- OMHSAS will schedule visit 90 days prior to expiration of license
- Submission of requested documents prior to the inspection
- Preparation for visit

ONSITE VISIT/INSPECTION

- Entrance interview
- Walk-through
- Review of records/human resources files
- Exit conference

POST-INSPECTION

- Licensing inspection summary (LIS)
- Confirmation of Certificate of Compliance

WAIVERS

- An agency may request a waiver of a regulation. When reviewing a waiver request, OMHSAS will consider whether the waiver will jeopardize the health and safety of the children, youth or young adults served by the IBHS agency.
- Bulletin: OMHSAS-16-3 “Revised Procedure for Waiver of Office of Mental Health and Substance Abuse Services (OMHSAS) Program Regulations and Standards,” issued April 19, 2016.

PROCESS FOR A PROVIDER TO SUBMIT A WAIVER

- To submit a waiver a provider must:
 - Submit a letter on agency letterhead requesting the specific regulation they wish to waive and the rationale for the request
 - Ask for letter of support from the county MH/ID office
- If you need assistance with requesting a waiver contact the regional OMHSAS Field Office
- If a provider needs to request a waiver, the request for a waiver should be submitted with the provider's licensing application.

ENROLLMENT

- The Electronic Provider Enrollment Portal can be accessed using a secure internet site: **<https://provider.enrollment.dpw.state.pa.us>**
- Each licensed service location will need to be enrolled in the MA program separately.

ENROLLMENT

- Specialty Types:
 - Individual: 590
 - Group: 591
 - ABA: 592

ENROLLMENT QUESTIONS

- Enrollment related information is available on the Department's website at:
<http://www.dhs.pa.gov/provider/promise/enrollmentinformation/>
- If you have a question about the provider enrollment process, please contact OMAP using the following contact information:
OMAP Inquiry Line: 1-800-537-8862

[Welcome](#)[New Application](#)[Revalidation](#)[Reactivation](#)[Resume Application](#)[Application Status](#)

Welcome to the Pennsylvania (PA) Department of Human Service (DHS) Medical Assistance (MA) Program On-line Provider Enrollment Application

In order for providers to participate with the Department of Human Services, they must first enroll. To be eligible to enroll, practitioners in Pennsylvania must be licensed and currently registered by the appropriate state agency. Out-of-state practitioners must be licensed and currently registered by the appropriate agency in their state and they must provide documentation that they participate in that state's Medicaid program. Other providers must be approved, licensed, issued a permit, certified by the appropriate state agency, or if applicable certified under Medicare.

To enroll, providers can complete an on-line provider enrollment application and supply any required supporting documentation. This includes providers who are not billing PA Medicaid but provide services to beneficiaries. All applications will be screened based on Federal and State guidelines prior to an enrollment decision. Please retain copies of your application materials for your records. You will receive a response upon approval or denial of your enrollment with PA Medicaid.

Types of Provider Enrollment Applications

There are three types of enrollment applications and each requires a provider to complete an entire application. Please click the appropriate navigation item on the left hand side of the page to start a "New Application", "Revalidation" or "Reactivation".

- **"New Application"** - Brand new provider never enrolled with PA Medicaid
- **"Revalidation"** - Provider currently enrolled with PA Medicaid
- **"Reactivation"** - Provider re-enrolling with PA Medicaid

Tracking Provider Enrollment Applications

A unique number called the "Application Tracking Number" (ATN) will be assigned when a "New Application", "Revalidation" or "Reactivation" is started. Prior to exiting the application, write down this number and keep it for your records. If you need to access the application later, please click the appropriate navigation item on the left hand side of the page to "[Resume Application](#)" or to check the "[Application Status](#)". **Note:** Information will not be retained and the application will be deleted if the provider does not complete the application, supply the required supporting documentation and click the "Submit Application" button on the "Summary" page when finished.

Additional Information

The following buttons will open a web page in a new browser window so information can be viewed while continuing with the application. Please click the appropriate heading at the top of the page to obtain additional information.

- **"Enrollment Information"** - Opens the DHS website provider "Enrollment Information" page
- **"Contact Information"** - Opens the DHS "Contact Information/Help for MA Providers" page; includes telephone number and address information
- **"Help"** - Opens the document that provides navigation tips for the on-line provider electronic enrollment application.

If you have any questions about completing an application, please refer to "Contact Information" and call the appropriate toll free number for your provider type.

System Requirements

At a minimum this site requires Microsoft Internet Explorer version 11 with 256 bit encryption. All enrollment attachments must be uploaded in Adobe PDF format. You must have a copy of Adobe Acrobat Reader installed on your system to view certain supporting documents.

QUESTIONS

- Submit questions for inclusion in FAQ
- Additional resource documents will be posted online at:

<http://www.healthchoices.pa.gov/providers/about/behavioral/inbehavioralhs/index.htm>



What's New?
Publications

Intensive Behavioral Health Services

Intensive Behavioral Health Services (IBHS) support children, youth, and young adults with mental, emotional, and behavioral health needs. IBHS offers a wide array of services that meet the needs of these individuals in their homes, schools, and communities. IBHS has three categories of service: 1) Individual services which provide services to one child; 2) Applied Behavior Analysis (ABA) which is a specific behavioral approach to services; and 3) Group services which are most often provided to multiple children at a specific place. Evidence-based treatment (EBT) can be delivered through individual services, ABA services, and group services.

Related Topics

No related topics were found.

TRAINING & WEBINARS

Statewide Webinars

- **Oct. 4** — 9 a.m.-noon
Please register for the webinar at: <https://attendee.gotowebinar.com/register/8478881019548619788>
- **Nov. 20** — 1-4 p.m.
Please register for the webinar at: <https://register.gotowebinar.com/register/5160815511865426445>

• After registering, you will receive a confirmation email containing information about joining the webinar.



ADDITIONAL RESOURCES POSTED ON IBHS WEBSITE

NEXT STEPS

- Regional Forums
 - Pittsburgh Region-October 10 (Greensburg) and October 11 (Grove City)
 - Central Region-October 16 (Harrisburg)
 - Southeast Region-October 21 (Norristown)
 - Northeast Region-October 22 (Wilkes-Barre) and November 14 (Allentown)
- Statewide webinar
 - November 20, 2019 1-4pm